MOSAIC RETIREMENT PLANNING

Commonly Reviewed Documents

The following documents are important to properly study, analyze and prepare proper planning to you. This information will be treated confidentially and returned when the planning process is completed, or earlier upon request.

Personal Files

- ☐ Latest Income Tax Returns
- ☐ Trust Agreements
- ☐ Will(s)
- ☐ Powers of Attorney

Savings & Investment Accounts (your most recent statement)

- ☐ Investment Brokerage Accounts
- ☐ Stock Options, Grants and Restricted Stock Units
- ☐ Company Stock or Employee Stock Purchase
- ☐ Mutual Funds
- ☐ Bank & Money Market Accounts
- ☐ Partnerships (K-1 Report or other relevant papers)

Retirement Accounts (your most recent statement)

- ☐ IRA's
- ☐ Annuities
- ☐ Employer Sponsored Retirement Plans (401(k)'s, 403(b)'s, 457's, 401(a)'s, etc.)
- ☐ Other Company Accounts
    - o Profit Sharing Plan
    - o ESOP
    - o Defined Benefit (Pension) Plan (Personal Benefits Page)
- ☐ Keogh or Safe Harbor Plan
- ☐ Social Security Statement (www.ssa.gov)

Insurance & Home Information

- ☐ Life Insurances (original policy and/or most recent statement)
- ☐ Long Term Care Policy
- ☐ Employer Benefits Summary Page
- ☐ Debt/Mortgage Statements

Education Funding

- ☐ 529 College Savings Statements
- ☐ UGMA/UTMA Statements
- ☐ Coverdell Education IRA Statements

Other ____

MOSAIC RETIREMENT PLANNING, LLC

7200 S. Alton Way, Suite B290 Centennial, CO 80112 | (720) 617-4400
info@mosaicretirement.com | www.mosaicretirement.com